Trusted Contact Person Information

Canadians lose billions of billions to fraud and scams every year. Older investors are more likely to be victims of these crimes.

Under a new set of regulatory rules, we are required by CIRO to ask you for the name and contact information for a person that you trust to assist us in protecting your investments. This person does not make any decisions on your behalf. We would contact this person to confirm or make inquiries about any of the following:

- Possible financial exploitation affecting you or your account
- Your current contact information
- Concerns about your mental capacity as it relates to financial decision making
- The identity of any legal guardian, executor, trustee or other personal or legal representative

90% of Canadians support the idea of appointing a trusted contact person to help protect their money.

If you would like to appoint a TCP, please fill out the form below:

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. **Suffix:** ☐ Jr. ☐ Sr.

First Name *Middle Name* *Last Name*

Address *Apt Suite*

City *Province* *Postal Code* *Country*

Work Phone *Home Phone* *Mobile Phone*

Email Address

Relationship to Primary Applicant, Co Applicant

Client Name and Account Numbers

Signature *Signature*